

image 2874



**TRANSMITTAL LETTER
(General - Patent Pending)**

Docket No.
14998-235

In Reply, Please Refer To: Matsumoto, et. al.

Serial No.	Filing Date	Examiner	Group Art Unit
09/638,162	August 14, 2000	Jerry T Rahll	2874

Title: "POLARIZATION-MAINTAINING OPTICAL FIBER AND POLARIZATION-MAINTAINING OPTICAL FIBER COMPONENT"

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**TRANSMITTAL LETTER
TRANS. DISCLOSURE STATEMENT/IDS FORM PTO-1449
EUROPEAN SEARCH REPORT/3 JAPANESE-ENGLISH ABSTRACTS FROM PATENT APPLICATIONS
1-ARTICLE FROM CONFERENCE/1-US PATENT 5,317,575-not submitted (TOTAL 5 SUBMISSIONS)
CERTIFICATE OF OF FIRST CLASS MAIL/CONFIRMATORY POSTCARD**

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **03-1240** as described below. A duplicate copy of this sheet is enclosed.
 - ☐ Charge the amount of _____
 - ☒ Credit any overpayment.
 - ☒ Charge any additional fee required.

Daniel Basov

Signature

Dated: October 9, 2003

Daniel Basov, Reg. No. 42,303
CHADBOURNE & PARKE LLP
30 Rockefeller Plaza
New York, New York 10119
1-212-408-5275

I certify that this document and fee is being deposited **October 9, 2003** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Daniel Basov

Signature of Person Mailing Correspondence

Daniel Basov

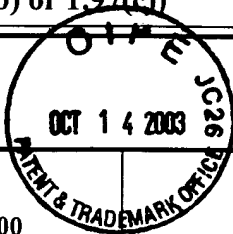
Typed or Printed Name of Person Mailing Correspondence

CC:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14998-235

In Re Application Of: Matsumot , et. al.



Serial No.
09/638,162

Filing Date
August 14, 2000

Examiner
Jerry T. Rahl

Group Art Unit
2874

Title: "POLARIZATION-MAINTAINING OPTICAL FIBER AND POLARIZATION-MAINTAINING OPTICAL FIBER COMPONENT"

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

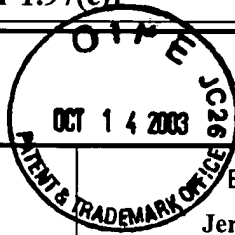
OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14998-235

In Re Application Of: Matsumoto, et. al.



Serial No.
09/638,162

Filing Date
August 14, 2000

Examiner
Jerry T. Rahll

Group Art Unit
2874

Title: "POLARIZATION-MAINTAINING OPTICAL FIBER AND POLARIZATION-MAINTAINING OPTICAL FIBER COMPONENT"

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 03-1240 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

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Daniel Basov

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Daniel Basov

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*This certificate may only be used if paying by deposit account.

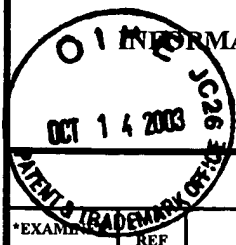
Daniel Basov

Signature

Dated: October 9, 2003

Daniel Basov, Reg. No. 42,303
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30 Rockefeller Plaza
New York, New York 10112
1-212-408-5275

CC:



INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

14998-235

Application Number

09/638,162

Applicant(s)

Matsumoto, et. al.

Filing Date

August 14, 2000

Group Art Unit

2874

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,317,575	5/31/1994	Aniano, John B.			

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	JP	01 134403 A ✓	5/26/1989	Japan (NEC CORP.)	G02B			
	JP	05 072433 A ✓	3/26/1993	Japan (SUMITO ELECTRIC)	G02B			
	JP	60 083906 A ✓	5/13/1985	Japan (NIPPON DENSHIN)	G02B			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Hiroshi Suganuma et. al., " Pure silica core polarization maintaining fiber" European conference on optical communication, Brighton, September 11-15 1988, London, IEE Great Britain. Vol. Part 1 Conf. , September 14, 1988. ✓ Pages 499-502 XP 000145174

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): Matsumoto, et. al.

Docket No.

14998-235

Serial No.

09/638,162

Filing Date

August 14, 2003

Examiner

Jerry T. Rahll

Group Art Unit

2874

Invention: "POLARIZATION-MAINTAINING OPTICAL FIBER AND POLARIZATION-MAINTAINING OPTICAL FIBER COMPONENT"

TRANSMITTAL LETTER**TRANS. DISCLOSURE STATEMENT/ IDS FORM PTO-1449****EUROPEAN SEARCH REPORT/3 JAPANESE-ENGLISH ABSTRACTS FROM PATENT APPLICATIONS****I- ARTICLE FROM A CONFERENCE/1- US PATENT 5,317,575 - not submitted (TOTAL 5 SUBMISSIONS)****CERTIFICATE OF FIRST CLASS MAIL/CONFIRMATORY POSTCARD**

I hereby certify that this _____

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is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 9, 2003*(Date)*Daniel Basov*(Typed or Printed Name of Person Mailing Correspondence)*Daniel Basov*(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**